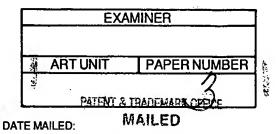


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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT		ATTY. DOCKET NO.
10/043,394	01/	10/02 KLAERNI	ER SMX 3093.6 (20	01-006R1)

SENNIGER POWERS LEAVITT AND ROEDEL ONE METROPOLITAN SQUARE 16TH FLOOR ST LOUIS, MO 63102



ΔPR 2 9 2002

LICENSING & REVIEW

IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A FORMAL REQUIREMENT WILL BE ISSUED

The subject matter of this application appears to:

be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).

□"have significant utility in the conduct of aeronautical and space activities" as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency (ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example must appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set forth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at (703) 306-4191.

PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS MATTER TO THE ATTENTION OF LICENSING AND REVIEW

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The following is an example of an acceptable property rig suitable for situations in which NO Agency funds or other of the invention. While this example is in the form of a de-	this statement. Statements of this type are, of course, only considerations were involved in the making or conception eclaration, a sworn document is equally acceptable.			
I (We)				
citizens of				
residing at				
declare:				
That I (we) made and conceived the invention described a	and claimed in patent application:			
erial Numberfiled in the United States of America on				
titled				
. (Check and complete either I or II below)	(Check III and/or IV below as appropriate)			
☐ I. (For Inventors Employed by an Organization) That I (we) made and conceived this invention while employed	That to the best of my (our) knowledge and belief:			
by That the invention is related to the work I am (we are) employed	☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or			
the invention is related to the work I am (we are) employed				
to perform and was made within the scope of my (our) employment duties; That the invention was made during	for the benefit of the United States Atomic Energy			
working hours and with the use of facilities, equipment,	Commission or its successors: Energy Research and			
materials, funds, information and services of	Development Administration or the Department of En-			
Other relevant	ergy.			
facts are	AND/OR			
That to the best of my (our) knowledge and belief (and/or)	☐ IV.The invention was not made (conceived or first ac-			
based upon information provided by	tually reduced to practice) under nor is there any relation-			
of:	ship of the invention to the performance of any work under any contract of the National Aeronautics and Space Ad-			
OR	ministration.			
☐ II. (For Self-Employed Inventors) That I (we) made				
and conceived this invention on my (our) own time using				
only my (our) own facilities, equipment, materials, funds,				
information and services. Other relevant facts are				
	and and a housin of his or hay (their) are to be a day or and the			
	ents made herein of his or her (their) own knowledge are true elieved to be true and further that these statements are made			
with the knowledge that willful false statments and the like so	made are punishable by fine or imprisonment, or both, under			
	it such willful false statements may jeopardize the validity of			
the application or any patent issuing thereon.				
Inventor's Signature:				
Post Office Address:				
Date:				
Inventor's Signature:				
Post Office Address:				
Date:				